



Frequently Asked Questions (FAQs)

SMART4ALL First Open Call

Cross-Domain Technology Transfer Experiments (CTTE)

Application submission starts on: 01 December 2020, 00:00 CET
Submission deadline is: 01 March 2021, 17:00 CET

Antonio Montalvo

Project Manager

antonio.montalvo@fundingbox.com

Lynda O'Mahony

Deputy Project Manager

Lynda.omahony@fundingbox.com



H2020 Innovation Action – This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 872614



TABLE OF CONTENTS

1	What is CTTE about?	4
2	Who can apply to the CTTE First Open Call?	4
3	Can two Academic entities apply to CTTE First Open Call?	4
4	How do I find a partner to apply with?	4
5	Can I apply if I am a collaborator of one of the partners of the SMART4ALL consortium?	5
6	What is the technology and verticals behind SMART4ALL?	5
7	Can my consortium apply if my proposal is about CLEC but our competence field is not included in the previous list?	6
8	Is SMART4ALL going to address COVID-19? How?	6
9	What countries are eligible for SMART4ALL?	6
10	Can a consortium apply if the two entities are from the same eligible country?	6
11	Can a consortium or a member of a consortium submit more than one proposal?	7
12	What does the application form to the CTTE Open Call include?	7
13	How long does it take to fill in the application?	7
14	When is the deadline for my application submission?	7
15	What is the selection process procedure and how long does it take?	8
16	Will all the evaluation criteria have the same weight?	8
17	What costs are eligible in CTTE?	9
18	Will each member of the consortium receive the funds we are requesting?	9
19	When will we receive the funds?	9
20	Will we get a template of the requested deliverables?	10
21	Will a consortium getting funded by CTTE be able to apply to subsequent Open Calls from the SMART4ALL programme?	10
22	Does the 'de minimis' rule apply for CTTE? Is there any additional restriction about funding?	10
23	What type of support will be available to prepare the application?	10



Frequently Asked Questions SMART4ALL

Thank you so much for your interest in SMART4ALL CTTE First Open Call and welcome to our Frequently Asked Questions (FAQs). We hope these questions can help you solve your doubts about the application and submission process of CTTE First Open Call.

This FAQs will be updated based on the questions we receive but, if you cannot find the answer you need here, please submit your question(s) through our [SMART4ALL Online Community](#) or to our [Helpdesk](#).

This document refers to the CTTE First Open Call project, which launches on Tuesday 01 December 2020, at 00:00 (CET) and has a submission deadline on Monday 01 March 2021 at 17:00 (CET).

Please refer to SMART4ALL website for more information about the project and to the Guide for Applicants (GfA) for further information about the call.



1 What is CTTE about?

The **Cross-Domain Technology Transfer Experiments** (CTTE) funding instrument, focusing on one of the four defined verticals (Digitized Transport, Digitized Agriculture, Digitized Environment and Digitized Anything), will give the opportunity to form synergies, accelerate product orient projects and offer guidance towards successful commercialisation. It will be of short-term duration (6-9 months) and will consist of **cross-border** Pathfinder Application Experiments (PAEs) between 3 different entities from at least two different eligible countries (as per the eligibility criteria stated in section 3.2 of the Guide of Applicants). For this CTTE Open Call, one Academic/Industrial **Technology Provider** transfers a novel technology to one Industrial **Technology Receiving** partner as an early-adopter and then one Industrial **productization** partner extends the value chain. The partners shall apply together as a consortium through a simple application form.

2 Who can apply to the CTTE First Open Call?

The SMART4ALL cross border Experiments have to be proposed by a Consortium including the following type of applicants:

- **Universities and other Academic Institutions.**
- **SME and Slightly Bigger Companies**, as defined in the [EU recommendation 2003/361](#). Slightly Bigger Companies are defined respectively as organisations with a staff headcount below 500 employees and a turnover below EUR 100M.
- **System Integrators and/or Technology Providers**, i.e. any type of organisation specialised in technology transfer or system integration, serving the needs of end-users, provided they can be categorised in one of the two previous types of beneficiaries.

Proposals can be submitted by any of the aforementioned type of entities, but the participation of, at least, one SME/Slightly Bigger Company is compulsory and should be the lead of the cross-border Pathfinder Application Experiments (PAEs).

The PAEs must be cross-border, involving three independent entities from at least two different eligible countries.

The applicants involved in the consortia can NOT include any SMART4ALL partners.

3 Can two Academic entities apply to CTTE First Open Call?

The consortium composition can be as follows:

Technology Provider	Technology Receiver	Productizer
Academic, Country 1	Industrial, Country 1 or 2	Industrial, Country 2, 1 or 3
Industrial, Country 1	Academic, Country 1 or 2	
Industrial, Country 1	Industrial, Country 1 or 2	

The **leading partner must always have an Industrial partner status (SME or Slightly Bigger company).**

4 How do I find a partner to apply with?

Through the SMART4ALL [Matchmaking & Partner Search Service](#) you have access to SMART4ALL ecosystem for:

- **Matchmaking** among technological partners as well as between technological and business parties, based on specific requirements, offerings and characteristics.



- **Partner search** for SMART4ALL Open Calls.

5 Can I apply if I am a collaborator of one of the partners of the SMART4ALL consortium?

No, applicants shall not have any actual or/and potential conflict of interest with the SMART4ALL selection process and during the whole internship program. All cases of conflict of interest will be assessed case by case.

6 What is the technology and verticals behind SMART4ALL?

SMART4ALL focuses on CLEC, i.e. low-energy computing technologies applied in **four** SMART4ALL verticals with European leadership and strategic importance, including but not limited to the following SMART4ALL competence fields (see summarised table below, the complete one being available in the Guide for Applicants):

SMART4ALL VERTICALS				
SMART4ALL COMPETENCE FIELDS	DIGITIZED TRANSPORT	DIGITIZED ENVIRONMENT	DIGITIZED AGRICULTURE	DIGITIZED ANYTHING
		<ul style="list-style-type: none"> • Green transport • Smart mobility • Shared mobility • Robotics • New platforms for efficient supply-demand matchmaking • Automotive electronics • Autonomous vehicles • Connected vehicles • Streamlining transport using big data • Aeronautics and space applications • Transport and Logistics • City Transport Mapping 	<ul style="list-style-type: none"> • Smartbuilding • Smarthome • Critical infrastructure monitoring • Smart hospitals • Water pollution monitoring • Smartgrids • Energy management • Environment monitoring • Bio-diversity • Wild/migratory animals monitoring • Smart industry • Data processing & data visualization 	<ul style="list-style-type: none"> • Smart farming • AI inspired agriculture • Information based site specific applications • Demand driven, sustainable agriculture • Mobile plant, soil and environment sensors • Sensor networks – EU wide – cross-border • Field robotics and automation systems • UAV based agriculture and plant monitoring • Selective plant protection • Closed nutrient cycles • Agricultural decision support systems • Zero-energy food systems • Circular economy • Water, Energy and Food (WEF) efficiency • Revalorisation of agricultural waste



				• E-commerce
--	--	--	--	--------------

7 Can my consortium apply if my proposal is about CLEC but our competence field is not included in the previous list?

Yes, you can apply, provided your proposal is focused on CLEC and you can prove it deals with one of the four verticals. As mentioned in the introductory sentence, the above list does not pretend to limit the competence fields, it is just a guiding list.

8 Is SMART4ALL going to address COVID-19? How?

SMART4ALL will pay special attention to CLEC applications facilitating COVID-19 management crisis and all aspects of life before, during and after such crisis, focusing on the four verticals described in question 7.

In this first CTTE Open Call, proposals addressing current and future problems stemming from the COVID-19 crisis will be given **1 extra point** to the overall score, as explained in section 4.2 of the Guide for Applicants.

9 What countries are eligible for SMART4ALL?

For this CTTE Open Call, the following countries will be eligible:

- the Member States of the European Union: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden and United Kingdom
- the Countries Associated to Horizon 2020 (those which signed an agreement with the Union as identified in Article 7 of the Horizon 2020 Regulation): the latest information on which countries are associated, or in the process of association to Horizon 2020 can be found at: http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/3cpart/h2020-hi-list-ac_en.pdf
- Any other South-East Europe (SEE) country not listed above but included in Annex A of the H2020 Work Programme.

Additionally, since one of the goals of SMART4ALL is to support the digital transformation in mainly European countries underrepresented in European funding schemes, by means of cross-border experiments, consortia including at least one member from one of the SEE countries will be prioritised: Albania, Bosnia and Herzegovina, Bulgaria, Croatia, Greece, Hungary, Kosovo, Moldova, Montenegro, North Macedonia, Romania, Slovakia, Slovenia and Serbia.

Proposals including **at least 1 member of the SEE region** in their consortium will be given **1 extra point** to the overall score.

10 Can a consortium apply if two entities are from the same eligible country?

Yes, in the case of CTTE, the three members of the applying consortia must be from at least two different countries within the list of eligible countries.



11 Can a consortium or a member of a consortium submit more than one proposal?

Any legal entity will be able to apply to the first CTTE Open Call in as many consortia as wished, but it would only be selected as part of one consortium.

12 What does the application form to the CTTE Open Call include?

Proposals have to be submitted through the SMART4ALL microsite: <https://smart4all.fundingbox.com/>

Applications submitted by any other means will not be considered for funding.

All Applicants will be asked to acknowledge the Informed consent form – in order to submit the full Application.

The proposals – submitted through the online platform – will include the following sections:

- Information on Partner no. 1:
- Information on Partner no. 2:
- Information on Partner no. 3:
- CTTE key data
- Description of the CTTE
 - (Scored) EXCELLENCE
 - (Scored) IMPACT
 - (Scored) IMPLEMENTATION
- Details on any solution related to COVID-19
- Ethical issues, data protection and privacy
- Questions for statistical purposes
- Declaration of Honour and Absence of conflict of interest – acceptance
- Informed consent form – acceptance
- Processing of personal data – information clause acceptance

Additional material, which has not been included and specifically requested in the online application form, will not be considered for the evaluation of the proposals. Data not included in the proposal will not be taken into account. The SMART4ALL consortium makes its best effort to keep all provided data confidential; however, for the avoidance of doubt, the applicant is solely responsible to indicate its confidential information as such.

13 How long does it take to fill in the application?

In order to successfully fill in the application form you will probably need 1-2 days of writing, but we imagine that your team is composed of members specialized in their area of expertise you can divide and conquer. You'll be able to add contributors to your application so that each team member can tackle their application area and you will be done quicker.

Considering specific character limits have been established in each section of the online application form, we encourage you to keep your application focused on the requested information keeping in mind the challenges and domains covered by this 1st call.

We also recommend that you start the submission process quite before the deadline in order to avoid last minute circumstances.

14 When is the deadline for my application submission?

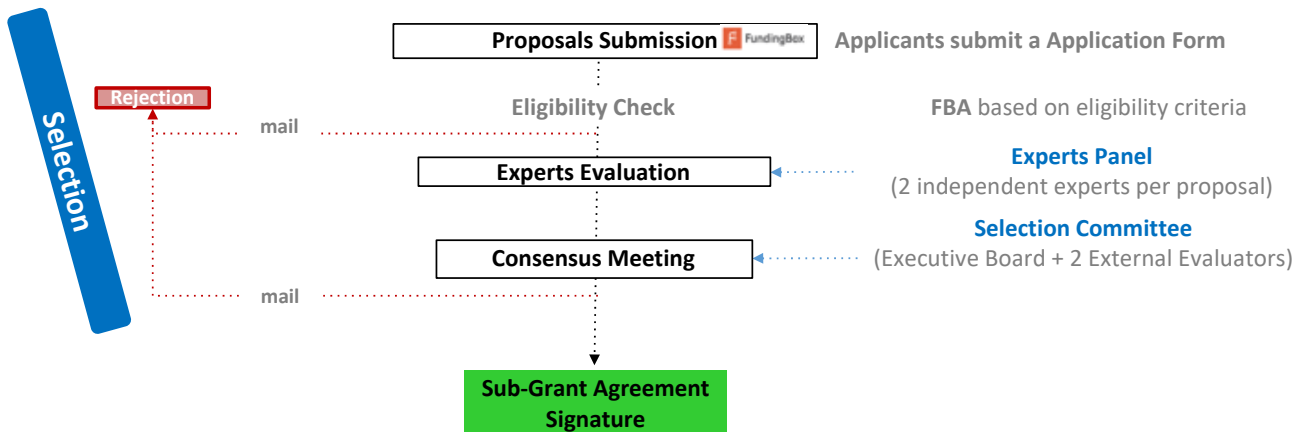
Applications must be submitted by the closing time and date published in the open call. Only proposals submitted before the deadline will be accepted. After the call closure no additions or changes to received proposals will be taken into account.

The deadline for this call is 01 March 2021 (17:00 CET).



15 What is the selection process procedure and how long does it take?

The selection process is as follows:



A proposal will be considered eligible for evaluation if it meets the following conditions:

- the online proposal template has been completed as required,
- the proposal was submitted by the closing date as stated in the open call text, and
- the eligibility criteria set out in section 3 were met.

Proposals that do not fulfil all conditions will not be considered for evaluation.

External evaluation

The proposals will be evaluated on the following criteria:

EXCELLENCE, IMPACT and IMPLEMENTATION. See more details in section 4.2 of the Guide for Applicants.

Consensus phase

The consensus meeting will select the proposals awarded financial support.

Formal decision

Formal decision of the financial support is subject to signing the SMART4ALL funding agreement. In case any consortium partner of SMART4ALL refuses to sign the funding agreement the proposal will be excluded from financial support. Instead, the first proposal, taken from a Reserve List of the top ranked proposals initially rejected, will replace the excluded one.

Communication after the evaluation

Applicants will be informed on the results after the evaluation has been completed and the final decisions have been made. Applicants of proposals rejected will receive an email including the reasons for rejection.

16 Will all the evaluation criteria have the same weight?

Each evaluator will rank the application assigning a score from 0 to 5 for each criterion and produce an Individual Evaluation Report. The final score will be calculated as the weighted sum of the individual assessments provided by the Evaluators.



Applicants including **at least 1 member of the SEE region** in their consortium will be given **1 extra point** to the overall score (obtained by adding the three individual criteria).

In addition, for this specific CTTE 1st Open Call, proposals addressing current and future problems stemming from the COVID-19 crisis will be given **1 extra point** to the overall score.

The final score on each criterion will be given by adding . **Ties** will be solved using the following criteria, in order:

- Number of partners from a SEE country in the consortium.
- Impact score.
- Team score.
- Date of submission: earlier submitted proposals go first.

A '**Ranking List**' will be created and passed to the Consensus phase.

17 What costs are eligible in CTTE?

The total financial support per CTTE includes the reimbursement of the following cost forms only:

- Costs of the staff involved in the CTTE;
- CTTE-related training and service activities for the industry partners;
- License and IPR agreement development;
- Necessary travel costs for mutual CTTE partner visits or CTTE presentations or demonstrations organized by SMART4ALL;
- Costs for providing open access to CTTE related scientific publications;
- Consumables and Equipment costs.

18 Will each member of the consortium receive the funds we are requesting?

Each selected applicant will sign the Sub-Grant Agreement with the SMART4ALL consortium. The funds awarded under the Sub-Grant Agreement are provided directly from the funds of the European Project SMART4ALL, and are therefore funds owned by the European Commission.

As mentioned above, the consortia will be **free** to distribute the requested funds among the three partners, according to the resources' distribution set up in the proposal.

However, the grant will be paid to the **Leading Partner** who will be responsible for its distribution within the consortium and the **leading partner must always have an Industrial partner status (SME or Slightly Bigger company)**.

19 When will we receive the funds?

The SMART4ALL Financial Authority will make the payments to the Leading Partner of the CTTE based on deliverable submission and approval by the SMART4ALL Mentoring Committee:

Deliverable	Submission date	Instalment (% of the lump sum)
Initial Plan	End of Month 3	30
Intermediate Report	End of Month 6	50
Final Report	End of Month 9	20



20 Will we get a template of the requested deliverables?

Yes, the requested reports will be included in the Individual Mentoring Plan (IMP) that the cross-border CTTE selected will define at the beginning of the support programme, together with the mentors allocated. This document establishes the KPIs and the 3 Deliverables that will be considered when evaluating the SMART4ALL Experiments' performance at the milestones.

21 Will a consortium getting funded by CTTE be able to apply to subsequent Open Calls from the SMART4ALL programme?

Yes, any consortium or any member of a consortium will be able to apply and get funding to subsequent SMART4ALL open calls, including other CTTE open calls.

The only restriction is that, in total, an organisation will be able to request an amount of EUR 100,000.

22 Does the 'de minimis' rule apply for CTTE? Is there any additional restriction about funding?

No, the 'de minimis' rule will not apply in CTTE nor any other SMART4ALL open call.

However, as per European Commission's rules, financial support will not be awarded to individual legal entities that have already received more than EUR 100,000 via open calls from H2020 I4MS (<https://i4ms.eu/>) and SAE (<https://smartanythingeverywhere.eu/>) projects.

This limited amount does not include any EC contribution that your organisation receives or has received within an EU-funded project (within FP7 or H2020) as a beneficiary. Participation as a beneficiary within EU-funded projects does not affect the participation in SMART4ALL open calls.

23 What type of support will be available to prepare the application?

The Guide for Applicants is the main reference document. It provides detailed information about the requirements of the evaluation and selection process.

For further information on the Open Call, in case of any doubts regarding the eligibility rules, the information that is to be provided in the Application Form, or if you encounter technical issues or problems with the Application Form, please contact the [SMART4ALL Helpdesk](#).

The Helpdesk will also be available using the [FundingBox Online Community](#) in spaces.



H2020 Innovation Action – This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 872614